# **Shareholding Pattern**

## SI Capital & Financial Services Ltd

Scip Code: 530970 Quarter Ending: December 2022

SI No	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any warrants?	Yes
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the listed entity has any significant beneficial owner?	No

## SI Capital & Financial Services Ltd

Table I - Summary Statement holding of specified securities

												No. of	Sharehol			Number		
							Sharehold					Shares	ding, as a			pledged		
							ing as a %	Numbero	f Vatina Di	ghts held in	ooch class	Underlyin	%	Number	of Locked in			
							of total	of securiti	_	giits ileiu ii	i cacii ciass	g	assuming	shares	JI LOCKEU III	encumbe		Number
				No. of	No. of		no. of	or securiti	es			Outstandi	full	Silares		encumbe	of equity	
			No. of	Partly	shares		shares					ng	conversio					shares
			fully paid	paid-up	underlyin		(calculate	No of Voti	na Riahts			convertib	n of		As a % of		As a % of	held in
		Nos. of	up equity	equity	g	Total nos.	d as per	140 01 4011	iig itigiits		Total as a	le	convertib		total		total	demateri
		sharehold	shares	shares	Depositor	shares	SCRR,	Class eg:	Class eg:		% of	securities	le		Shares		Shares	alised
Category	Category of shareholder	ers	held	held	y Receipts	held	1957)	Х	у	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		. (	IX)	,	(x)	(XI)=		XII)		XIII)	(XIV)
(A)	Promoter & Promoter Group		1811480		0	1811480	53.2788	1811480		1811480	53.2788	0	50.3189	200000	11.0406	0	0.0000	1811480
(B)	Public	1672	1588520		0	1588520	46.7212	1588520	0	1588520	46.7212	200000		0	0.0000	NA	NA	759270
(C)	Non Promoter - Non Public			-	0				0			0		-	0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(02)	Total	1673	3400000	-	0	3400000		3400000	0	3400000	100.0000	200000	100.0000	200000	5.8823	0	0.0000	2570750
	TOtal	10/3	3400000	U	U	3400000	100.000	3400000	U	3400000	100.0000	200000	100.0000	200000	5.0025	U	0.0000	23/0/30

No. of

Sharehol

Note C = C1+C2 Grand Total = A+B+C

### SI Capital & Financial Services Ltd

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

					No. of fully paid	Partly paid-up	No. of shares underlyin		Sharehol ding % calculate d as per SCRR,	class of se	-	ights held ir		Underlyin g Outstandi	assuming full conversio	Number shares	of Locked in As a % of	pledged otherwis encumbe	e
					up equity		Depositor		1957 As a	_,			% of Total		convertib		total		total
	Catanama O Nama afaha ahamahaldam	Entity		sharehold	shares held	shares held	y D	shares held	% of (A+B+C2)	Ū	Class eg:	Total	Voting	securities		NI- (-)	Shares	NI- (-)	Shares held(b)
	Category & Name of the shareholders	Туре	PAN (II)	ers (III)	(IV)	neia (V)	Receipts (VI)	(VII) =	(VIII) As a	^	,	(IX)	Rights	(including (X)	(XI)=		held(b) XII)	No. (a)	Neia(b) XIII)
1	Indian		(,	(,	(,	(-,	(*.,	(*, =	(1111) 713 0			(174)		(74)	(74)	'	,,	,	,
(a)	Individuals / Hindu Undivided Family			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(b)	Central Government / State Government(s)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(c)	Financial Institutions / Banks			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(d)	Any Other (Specify)			1	1811480	0	0	1811480	53.2788	1811480	0	1811480	53.2788	0	50.3189	200000	11.0407	0	0.0000
	Bodies Corporate			1	1811480	0	0	1811480	53.2788	1811480	0	1811480	53.2788	0	50.3189	200000	11.0407	0	0.0000
	Sharewealth Securities Ltd	Promoter (	AAKCS310	1	1811480	0	0	1811480	53.2788	1811480	0	1811480	53.2788	0	50.3189	200000	11.0407	0	0.0000
	Sub Total (A)(1)			1	1811480	0	0	1811480	53.2788	1811480	0	1811480	53.2788	0	50.3189	200000	11.0407	0	0.0000
2	Foreign																		
	Individuals (Non-Resident Individuals / Foreign																		
(a)	Individuals)			0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	0	0.0000
(b)	Government			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(c)	Institutions			0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	0	0.0000
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	0	0.0000
(e)	Any Other (Specify)			0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	0	0.0000
	Sub Total (A)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			1	1811480	0	0	1811480	53.2788	1811480	0	1811480	53.2788	0	50.3189	200000	11.0407	0	0.0000
	Group (A)- (A)(1)+(A)(2)			-	1011400	U	U	1011400	33.2700	1011400	U	1011400	33.2700	U	30.3103	200000	11.0407	U	0.0000

No. of Sharehol

**Number of Shares** 

SI Capital & Financial Services Ltd
Table III - Statement showing shareholding pattern of the Public shareholder

													No. of Shares	Iding,				r of Shares				
								Sharehol Number of Voting Rights held in				Underlyi	as a %	Number o	flockad	pledge						
								ding %		lass of se		icia iii	ng	assumin	in shares	LOCKEU	encum		Number	Sub-categ	orization o	of shares
					Partly			calculate				IULAI	Outstand .	-					of equity			
				No. of	paid-	No. of		d as per					ing	conversi		As a %		A = = 0/ = f	shares		ling(No. of	shares)
			Nos. of	fully paid up equity	•	shares underlying	Total nos	SCRR,		Voting Ri	ghts	of Total	convertib le	converti		of total		As a % of total	demateri	under Sub-	Sub-	Sub-
			sharehol	shares	shares	Depository		% of	Class	Class			securities			Shares		Shares	alised			category(
	Category & Name of the shareholders	PAN	ders	held	held	Receipts	held	(A+B+C2)			Total	_	(includin	securiti	No (a)		No (a)	held(b)	form	i)	ii)	iii)
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	-	CB. 1	(IX)	Mgmus	(X)	(XI)=	(XI			(XIII)	(XIV)	',	, (XV)	,
1	Institutions (Domestic)	(/	(,	(,	(-/	(/	(,	(,			( ·,		1-7	(/	,	-,		,	(/		()	
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(d)	Banks		1	9200	0	0	9200	0.2706	9200	0	9200	0.2706	0	0.2556	0	0.0000	NA	NA	0	0	0	0
(e)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(f)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(G)	Asset Reconstruction Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(h)	Sovereign Wealth Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(i)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(j)	Other Financial Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(k)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Sub Total (B)(1)		1	9200	0	0	9200	0.2706	9200	0	9200	0.2706	0	0.2556	0	0.0000	NA	NA	0	0	0	0
2	Institutions (Foreign)																					
(a)	Foreign Direct Investment		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	NA	NA	0			
(c)	Sovereign Wealth Funds		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA	0			
(d)	Foreign Portfolio Investors Category I		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA	0			
(e)	Foreign Portfolio Investors Category II		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Overseas Depositories(holding DRs)																					
(f)	(balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA	0			
(g)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA	0			
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
_	Central Government/ State																					
3	Government(s)		_	_	_	_	_		_	_	_		_		_				_			
(a)	Central Government / President of India		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000			NA	NA	0			
(b)	State Government / Governor		0	0	U	0	0	0.0000	0	0	0	0.0000	U	0.0000	U	0.0000	NA	NA	0			
	Shareholding by Companies or Bodies																					
(c)	Corporate where Central / State		0	0	0	0	0	0.0000	0	0	0	0.0000	•	0.0000	•	0.0000	NIA	NA	0			
(C)	Government is a promoter		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA NA	0			
4	Sub Total (B)(3) Non-Institutions		U	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA NA	0			
4 (a)	Associate companies / Subsidiaries		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA NA	0			
(a)	Directors and their relatives (excluding		U	U	U	U	U	0.0000	U	U	U	0.0000	U	0.0000	U	0.0000	IVA	IVA	U			
	Independent Directors and nominee								33698													
(b)	Directors)		2	336980	0	0	336980	9.9112	0	0	336980	9.9112	200000	14.916	0	0.0000	NΔ	NA	336980	0	0	0
(~)	Anu Thomas Cheriyan	AEAPC049		200000	0	0	200000	5.8824	200000	-	200000	5.8824		11.111		0.0000		NA	200000	-	-	-
	Anoop Jolly	AJUPA350		136980	0	0	136980	4.0288	136980		136980	4.0288		3.805	0	0.0000		NA	136980			
(C)	Key Managerial Personnel		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	-	0.0000		NA	0			
· • /	,		-	-	_	-	-		-	_	-	3.0000	-		-		. •••		-			

(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)  Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(E)	trust"	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(f)	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	1636	972691	0	0	972691	28.6086	1E+06	0	972691	28.609	0	27.019	0	0.0000	NA	NA	246341	0	0	0
	ii. Resident individual holding nominal																				
(h)	share capital in excess of Rs. 2 lakhs.	2	112300	0	0	112300	3.3029	1E+05	0	112300	3.2294	0	3.1194	0	0.0000	NA	NA	51900	0	0	0
	Jayant Pranjiwandas		57900	0	0	57900	1.7029	57900	0	57900	1.7029	0	1.6083	0	0.0000	NA	NA	0			
	Surendra Kumar Nakhat .	AJCPS1741	54400	0	0	54400	1.6	54400 <b>12314</b>		54400	1.6	0	1.5111	0	0.0000	NA	NA	51900			
/:\	Non Resident Indians (NRIs)	5	123142	0	0	123142	3.6218	12314	0	123142	3.6218	•	3.4206	•	0.0000	NIA	NA	123142	•	•	•
(i)	Vivek Ramachandran	ADFPR132 1	112400	0	0	112400	3.3059	11240	-	112400	3.3059		3.1222		0.0000		NA NA	112400	U	U	0
/:\	Foreign Nationals	0 0	112400 <b>0</b>	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA NA	0			
(j)	Foreign Companies	0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000		0.0000		NA NA	0			
(k) (l)	Bodies Corporate	21	33500	0	0	33500	0.9853	33500	•	33500	0.9853		0.9306		0.0000		NA NA	200	0	0	0
(יי) (m)	Any Other (Specify)	1	707	0	0	707	0.0208	707	0	707	0.0208		0.0208		0.0000		NA	707	0	0	0
(,,,	Hindu Undivided Family	4	707	0	0	707	0.0208	707	0	707	0.0208		0.0196		0.0000		NA	707	0	0	0
	Timud Ondivided Family	7	707	Ů	·	707	0.0200	15793	•	707	46.450		0.0130	·	0.0000	140	NA.	707	Ū	·	Ū
	Sub Total (B)(4) Total Public Shareholding (B)=	1671	1579320	0	0	1579320	46.4506	20 15885	0	1579320	6 46.721	200000	49.426	0	0.0000	NA	NA	759270	0	0	0
	(B)(1)+(B)(2)+(B)(3)+b(4)	1671	1588520	0	0	1588520	46.7212	20	0	1588520	2	200000	49.681	0	0.0000	NA	NA	759270	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

### SI Capital & Financial Services Ltd

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

						No. of shares underl		sha reh oldi ng %			ting Rights ecurities	held in	Shares Underlyin	assuming	Number shares	of Locked in	pledged	e	Number of equity
			Nos. of sharehold	No. of fully paid up equity shares		ying Deposi tory Receip	Total nos.		No of \		Rights	Total as a % of	convertib	convertib		As a % of total Shares		As a % of total Shares	shares held in demateri alised
	Category & Name of the shareholders (I)	PAN (II)	ers (III)	held (IV)	held (V)	ts (VI)		per (VIII 0.00	eg: X	eg: y	Total (IX)	(A+B+C)	(including (X)	securities (XI)=		held(b) (XII)	No. (a)	held(b) (XIII)	form (XIV)
1	Custodian/DR Holder Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity)		0	0	0	0	0	0.00	0 (	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Regulations, 2021 Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	00 0.00 00	0 (		0				0		NA NA	NA NA	0

### Note:

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- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.